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on May 10, 2002.
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Jerry Cohen, Reg. No. 20,522

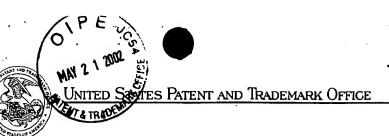
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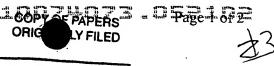
Typed or printed name of person signing Certificate

PTO/SB/21
PTO/SB/17
Preliminary Amendment
check for \$130
check for \$40
Assignment
PTO/SB/01
PTO/SB/81
6 sheets drawing
copy of Notice to File Missing Parts

Note:

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER 02/12/2002

10/074.073

Leah Simkins

NRC P56 (6160)

26486 PERKINS, SMITH & COHEN LLP ONE BEACON STREET 30TH FLOOR BOSTON, MA 02108

**CONFIRMATION NO. 6693 FORMALITIES LETTER** OC000000007623477

Date Mailed: 03/12/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The following item(s) appear to have been **omitted** from the application:

- Figure(s) Fig. 3 & 4 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (I) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing

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date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

A copy of this notice MUST be returned with the reply.

Begeredu

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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FEE TRANSMITTAL for FY 2001

02/12/2002 Filing Date

**Application Number** 

Patent fees are subject to annual revision.

Leah Simkins et al First Named Inventor **Examiner Name** Group / Art Unit 2831

10/074,073

Complete if Known

TOTAL AMOUNT OF PAYMENT

\$170.00

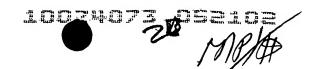
NRC P56 US Attorney Docket No.

METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)							
The Commissioner is hereby authorized to charge					3. ADD	3. ADDITIONAL FEES						
1. indicated fees and credit any over payments to:			s to:	1	Large		Small					
Daniel Control				Foo	Entity Fee	Fee	Entity Fee	For Bosodollon	Fee			
Deposit Account 03-2410					Code	(\$)	Code	(\$)	Fee Description	Paid		
Number					105	130	205	65	Surcharge - late filing fee or oath	\$130		
Deposit						127	50	227	25	Surcharge - late provisional filing fee or cover sheet.		
Account Perkins, Smith & Cohen, LLP						139	130	139	130	Non-English specification		
Name						147	2,520	147	2,520	For filing a request for reexamination		
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						112	920*	112	920*	Requesting publication of SIR prior to Examiner action		
Applicant claims small entity status.  See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		
2.	Payme	nt Enclos	sed:				115	110	215	55	Extension for reply within first month	
. 🛭	Check	☐ Cre	edit card		□ Oth	ner	116	390	216	195	Extension for reply within second month	
<b></b>				Order			117	890	217	445	Extension for reply within third month	
1.	BASIC FI	LING FE		ALCULATION			118	1,390	218	695	Extension for repty within fourth month	
Large	Entity		Entity				128	1,890	228	945	Extension for reply within fifth month	
Fee	•	Fee	Fee	Fee Description			119	310	219	155	Notice of Appeal	
Code	(\$)	Code	(\$)		_1	Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
101	710	201	355	Utility filing fee	L		121	270	221	135	Request for oral hearing	
106 107		206 207	160 245	Design filing fee Plant filing fee	-		138	1,510	138	1,510	Petition to institute a public use proceeding	
108	710	208	355	Reissue filing fee	, –		140	110	240	55	Petition to revive - unavoidable	
114	150	214	75	Provisional filling	fee		141	1,240	241	620	Petition to revive - unintentional	
		77			_		142	1,240	242	620	Utility issue fee (or reissue)	
		SU	BTOTA	L (1)	L	\$) 0	143	440	243	220	Design issue fee	
2. FXT	RA CLAII	WEEES					144	600	244	300	Plant issue fee	
X	0.004			Extra Fe	ee from	Fee	122	130	122	130	Petitions to the Commissioner	
Total Clain	ns	20	0 =		elow 18 =	Paid = 0	123	130	123	130	Petitions related to provisional applications	
Independer Claims	nt	-3	3 =	0 x	84 =	0	126	180	126	180	. Submission of Information Disclosure Stmt	
Multiple Dependent	×			L	280 =	0	581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40
Large Fee	Entity Fee	Small Fee	Entit Fee				146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code	(\$)	Code	(\$)	Fee Descripti			149	710	249	355	For each additional invention to be	
103	18	203	9	Claims in exce			1				examined (37 CFR § 1.129(b))	
102	80	202	40	Independent of			179	710	279	355	Request for Continued Examination (RCE)	
104	270	204	135	Multiple deper		•	169	900	169	900		$\vdash$
109	80	209	40	original patent				900	109	900	Request for expedited examination of a design application	
110	18 210 9 ** Reissue claims in excess of 20 and over original patent											
SUBTOTAL (2) (\$) 0					Other fee (specify)							
**or num	**or number previously paid, if greater, For Reissues, see above						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) \$170.00					

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)	Jerry Cohen	Registration No. Attorney/Agent)	20,522	Telephone	(617) 854-4000	
Signature	<u> </u>	. \		Date	05/10/2002	

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 19

Application Number	10/074,073
Filing Date	02/12/2002
First Named Inventor	Leah Simkins
Group Art Unit	2831
Examiner Name	
Attorney Docket Number	NRC P56 US

		ENCL	OSURES (chec	ck all that apply)			
	claration(s) lequest ent Request ure Statement iority g Parts/	Assignme (for an A)  Drawing  Licensing  Petition  Provision  Power of Change Address  Terminal  Request	ent Papers oplication)	After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): check for \$130, check for \$40, Preliminary Amendment, FIGS. 3, 4 marked red			
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm or Individual name	Jerry Cohen, Reg. No. 20,522						
Signature	hal						
Date	05/10/2002						
CERTIFICATE OF MAILING							
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Date

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Typed or printed name

Signature

Jerry Cohen, Reg. No. 20,522